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22883

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/827,268

Filing Date Apr 4, 2001

First Named Inventor Kasriel

Group Art Unit NYA

Examiner Name NYA

Total Number of Pages in This Submission

6

Attorney Docket Number 155.1003.02

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached Credit Card  
Payment Form PTO-2038

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority  
Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☒ Status Letter

☒ Other Enclosure(s)  
(please identify below):  
Return Postcard

JAN 17 2002

OFFICE OF PETITIONS

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Steven A. Swernofsky

Reg. no. 33,040

Signature

*Steven A. Swernofsky*

Date

10-24-01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 10-24-01

Type or printed name

Alette Malhas, Paralegal

Signature

*Alette Malhas*

Date

10-24-01

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22883

PATENT TRADEMARK OFFICE

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$630.00)

**Complete if Known**

Application Number 09/827,268

Filing Date 4/4/2001

First Named Inventor Kasriel, et al.

Examiner Name NYA

Group/Art Unit NYA

Attorney Docket No. 155.1003.02

**RECEIVED****JAN 17 2002****OFFICE OF PETITIONS****METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-0365

Deposit  
Account  
Name

Swernofsky Law Group

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

- ☐
- Check
- ☒
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	385.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$385.00)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from Below	Fee Paid
20**=	20	18	180.00
Independent Claims	-3**=		
Multiple Dependent			

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$180.00)

\*\*or number previously paid, if greater; For Reissues, see below

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$65.00)

**SUBMITTED BY**

Name (Print/Type) Steven A. Swernofsky

Registration No.  
(Attorney/Agent)

33,040

**Complete (if applicable)**

Telephone 650-947-0700

Signature

Date

10-24-01

**WARNING: Information on this form may become public. Credit card information should not be  
be included on this form. Provide credit card information and authorization on PTO-2038.**

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22883

PATENT TRADEMARK OFFICE

155.1003.02

#4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of:

KASRIEL

Serial No. 09/827,268

Filed: 4/4/2001

For: Server-Originated Differential  
Caching

Art Unit: Not Assigned JAN 17 2002

Examiner: Not Assigned OFFICE OF PETITIONS

Tel: Not Assigned

## CERTIFICATE OF MAILING

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with the United States Postal Service with sufficient postage  
as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on 10-24-01

Date

  
Arlette MalhasCHANGE OF STATUS LETTER

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

The above-identified patent application was originally filed claiming small entity status in good faith. It is now discovered that small entity status was claimed inadvertently in error with no deceptive intent. Pursuant to 37 CFR 1.28(c) the applicant no longer claims small entity status and authorization is hereby granted to charge the fee deficiency per the included Credit Card Payment Form PTO-2038.

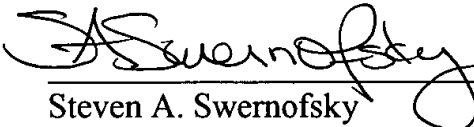
01/17/2002 SSAHDARA 00000018 09827268

01 FC:101	740.00 OP
02 FC:103	360.00 OP
03 FC:105	130.00 OP

In the event we have miscalculated the fee deficiency, Deposit Account No. 50-0365 may be used to credit any overpayment or make up any underpayment thereof.

Respectfully submitted,

Dated: 10-24-01

  
Steven A. Swernofsky  
Reg. No. 33,040

Swernofsky Law Group  
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Mountain View, CA 94039-0013  
(650) 947-0700